#### **PATENT APPLICATION**

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Jean-Paul BRIAND et al.

Attn: PCT Branch

Application No.

09/889,178

Filed:

July 12, 2001

Docket No.: 110072

For:

PSEUDOPEPTIDE, SYNTHESIS METHOD, REAGENT AND APPLICATIONS

#### TRANSLATION OF THE ANNEXES TO THE INTERNATIONAL PRELIMINARY EXAMINATION REPORT

Director of the U.S. Patent and Trademark Office Washington, D.C. 20231

Sir:

Attached hereto is a translation of the annexes to the International Preliminary Examination Report (Form PCT/IPEA/409). The attached translated material replaces the material in the specification at page 1, line 1, to page 3, line 20.

Respectfully submitted,

Registration No. 30,02

Joel S. Armstrong Registration No. 36,430

WPB:JSA/cmm

Date: August 21, 2001

OLIFF & BERRIDGE, PLC P.O. Box 19928 Alexandria, Virginia 22320 Telephone: (703) 836-6400

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Application No.:

U.S. National Serial No.:

Filed:

PCT International Application No.:

PCT/FR00/00053

**VERIFICATION OF A TRANSLATION** 

I, the below named translator, hereby declare that:

My name and post office address are as stated below;

That I am knowledgeable in the French language in which the below identified international application was filed, and that, to the best of my knowledge and belief, the English translation of the amended sheets of the international application No. PCT/FR00/00053 is a true and complete translation of the amended sheets of the above identified international application as filed.

I hereby declare that all the statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the patent application issued thereon.

Date: July 20, 2001

Full name of the translator:

Abraham SMITH

For and on behalf of RWS Group plc

Post Office Address:

Europa House, Marsham Way,

Gerrards Cross, Buckinghamshire,

England.

WO 00/42065

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09/889178 Li8 Rec'd PCT/PTO 1 2 JUL 2001 PCT/FR00/00053

# PSEUDOPEPTIDE, SYNTHESIS METHOD, REAGENT AND APPLICATIONS REPLACED BY AND T

For many years, many teams have focused on synthesizing analogs of peptides or proteins which mimic the biological activities of natural peptides or proteins. There may be mentioned, by way of example, the peptide analogs obtained by replacing one or more amino acids of the L series with one or more corresponding amino acids of the D series, the peptides exhibiting a modification at the level of at least one of the peptide bonds, such as the retro, inverso, retroinverso, carba and aza bonds.

15 The carba bond (CH<sub>2</sub>-CH<sub>2</sub>) has been described as a potential mimic of the peptide bond (Mendre C. et al., European J. Pharmacol., 186, p. 213-222, 1990; Attwood et al., Bioorg. Med. Chem. Lett., 7, p. 429-432, 1997). Moreover, the partial or complete replacement of the  $\alpha$ -carbon by a nitrogen atom on a peptide has made it possible to obtain advantageous pseudopeptides called azapeptides and azatides respectively (Gante, J., Synthesis, p. 405-413, 1989; Han H. and Janda K.D., J. Amer. Chem. Soc, 118, p. 2539-2544, 1996).

Ιn general, these peptide analogs, called pseudopeptides, have, as a first advantage, a metabolic greater than stability which is that of peptides or proteins because they are not degraded by natural proteases or degraded are less Moreover, the conformational changes induced by these chemical modifications can improve the biological properties of these pseudopeptides.

35 While the techniques for the synthesis of so-called natural peptides, in particular on solid supports, are well established and make it possible to easily prepare peptides comprising several tens of amino acids, the

introduction of these modifications in order to prepare pseudopeptides renders the synthesis more complex, in particular for long pseudopeptides.

5 Moreover, in the field of immunology and both in the diagnosis of viral or autoimmune diseases immunotherapy or vaccination, the synthetic peptides mimicking the epitopes of proteins represent a valuable alternative. The size of the peptides which are analogs of these antigenic determinants or 10 epitopes important factor in the choice of these peptides and has been the subject of numerous publications (M.H.V. Regenmortel, Immunology Today, 10(8), p. 266-271, 1989 or M.H.V. Regenmortel, Biomedical Peptides, Proteins & 15 Nucleic Acids, 1, p. 109-116, 1995). While originally it was accepted that an epitope comprises between 15 and 22 amino acids, recent studies show that this size may be reduced to a few amino acids. In the immunity domain, crystallographic studies on the interaction of 20 peptides and the major histocompatibility complex (MHC) indicate a size of 9 to 13 amino acids for a good interaction with the MHC class I molecules and 9 to 25 for the MHC class II (H.G. Rammensee, Current Opinion Biotechnology, 7, p. 85-96, 1995). Likewise, 25 diagnosis, the size is a critical factor for the use of peptides. In the case of HIV (human immunodeficiency virus), the smallest epitopes comprise from 4 to 6 amino acids but the peptides used still have a size greater than at least 12 amino acids (D. Osmanov, AIDS, 30 5(1), WHO1-WHO9, 1991). In another example, such as the diagnosis of Chagas' disease, the peptides comprise a minimum of 12 amino acids (WO-A-97/18475).

It is the object of the present invention to describe a novel family of pseudopeptides comprising a novel carbaza unit significantly modifying the peptide backbone and whose use in the context of peptide synthesis is easy both in solid phase and in liquid phase, and this even for peptides of a large size and

in particular greater than 6 amino acids. This novel family of pseudopeptides can be used in the diagnostic field to provide in vitro methods for the diagnosis of pathology conditions associated with the presence of endogenous or exogenous proteins in an individual, or in the therapeutic field, and in particular immunotherapy or vaccination.

These pseudopeptides have a size of at least 6 amino acids comprising at least one unit chosen from the B units of general formula I and/or II defined below:

15 in which:

 $R_1$ ,  $R_2$  and  $R_3$  each independently of one another represent an amino acids side chain and may be identical or different, and

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X represents an oxygen or sulfur atom, preferably an oxygen atom.

Advantageously, R<sub>2</sub> represents a hydrogen atom.

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The expression amino acids is understood to mean the primary amino acids which encode proteins, the amino acids derived after enzymatic action such as trans-4-hydroxyproline and the natural amino acids but which are not present in proteins, such as norvaline, N-methyl-L-leucine, staline (Hunt S. in Chemistry and Biochemistry of the amino acids, Barett G.C., ed., Chapman and Hall, London, 1985), the amino acids protected by chemical functional groups which can be used in synthesis on solid supports or in liquid phase

#### TRAITE D' COOPERATION EN MATIEF" DE BREVETS

|   | Expéditeur: le BUREAU INTERNATIONAL  |  |  |
|---|--|--|--|
| PCT   | Destinataire:  |  |  |
| NOTIFICATION D'ELECTION  (règle 61.2 du PCT)  | Assistant Commissioner for Patents United States Patent and Trademark Office Box PCT Washington, D.C.20231 ETATS-UNIS D'AMERIQUE |  |  |
| Date d'expédition (jour/mois/année)   | In Called to a Co.   |  |  |
| 02 octobre 2000 (02.10.00)  | en sa qualité d'office élu   |  |  |
| Demande internationale no PCT/FR00/00053  | Référence du dossier du déposant ou du mandataire<br>MD/B05B3272   |  |  |
| Date du dépôt international (jour/mois/année)   | Date de priorité (jour/mois/année)   |  |  |
| 12 janvier 2000 (12.01.00)  | 15 janvier 1999 (15.01.99)   |  |  |
| Déposant  |  |  |  |
| BRIAND, Jean-Paul etc   |  |  |  |
| international le:  O7 août 2000 (  dans une déclaration visant une élection ultérieure d  L'élection X a été faite  n'a pas été faite |  |  |  |
| Bureau international de l'OMPI<br>34, chemin des Colombettes<br>1211 Genève 20, Suisse  | Fonctionnaire autorisé  Christelle Croci   |  |  |
| no de téléconieur: (41-22) 740 14 35  | no de téléphone: (41-22) 338.83.38   |  |  |

## **PCT**

# RAPPORT D'EXAMEN PRELIMINAIRE INTERNATIONAL TO A

(article 36 et règle 70 du PCT)

| Référence du dossier du déposant ou du mandataire<br>B05B3272 MD/DG   | POUR SUITE A DONNER   |                                  | cation de transmission du rapport d'examen international (formulaire PCT/IPEA/416)   |  |  |  |
|---|---|----------------------------------|--|--|--|--|
| Demande internationale n°   | Date du dépot international (jour/m   | ois/année)                       | Date de priorité (jour/mois/année)   |  |  |  |
| PCT/FR00/00053  | 12/01/2000  |                                  | 15/01/1999   |  |  |  |
| Classification internationale des brevets (CIB) C07K7/02  | ou à la fois classification nationale e                                     | t CIB                            |  |  |  |  |
| Déposant  |   |                                  |  |  |  |  |
| BIO MERIEUX et al.  |   |                                  |  |  |  |  |
| <ol> <li>Le présent rapport d'examen préliminaire international, établi par l'administaration chargée de l'examen préliminaire<br/>international, est transmis au déposant conformément à l'article 36.</li> </ol>  |   |                                  |  |  |  |  |
| 2. Ce RAPPORT comprend 4 feuilles,  | y compris la présente feuille de  | couverture.                      |  |  |  |  |
| Il est accompagné d'ANNEXES, c'est-à-dire de feuilles de la description, des revendications ou des dessins qui ont été modifiées et qui servent de base au présent rapport ou de feuilles contenant des rectifications faites auprès de l'administration chargée de l'examen préliminaire international (voir la règle 70.16 et l'instruction 607 des Instructions administratives du PCT). |   |                                  |  |  |  |  |
| Ces annexes comprennent 4 feuille   | es.   |                                  |  |  |  |  |
| 3. Le présent rapport contient des indi   | cations relatives aux points suiv   | ants:                            |  |  |  |  |
| I ⊠ Base du rapport   |   |                                  |  |  |  |  |
| II 🗆 Priorité   |   |                                  |  |  |  |  |
| III Absence de formulation d'application industrielle   | n d'opinion quant à la nouveauté<br>e                                       | , l'activité inv                 | ventive et la possibilité  |  |  |  |
| IV 🔲 Absence d'unité de l'inv   | vention   |                                  |  |  |  |  |
| V 🖾 Déclaration motivée se<br>d'application industrielle  | lon l'article 35(2) quant à la nouv<br>e; citations et explications à l'app | eauté, l'activ<br>oui de cette c | vité inventive et la possibilité<br>déclaration  |  |  |  |
| VI   Certains documents cit   | és  | •                                |  |  |  |  |
| VII 🛛 Irrégularités dans la de  | mande internationale  | •                                |  |  |  |  |
| VIII ☐ Observations relatives   | à la demande internationale   |                                  | ·  |  |  |  |
| Date de présentation de la demande d'exame internationale   | n préliminaire Date d'a   | chèvement du                     | ı présent rapport  |  |  |  |
| 07/08/2000  | 09.04.20  | 001                              |  |  |  |  |
| Nom et adresse postale de l'administration ch<br>l'examen préliminaire international:   | nargée de Fonction  | nnaire autorisé                  | STATE OF STA |  |  |  |

Romano-Götsch, R

N° de téléphone +49 89 2399 8874

Tél. +49 89 2399 - 0 Tx: 523656 epmu d

D-80298 Munich

Fax: +49 89 2399 - 4465

# RAPPORT D'EXAMEN PRÉLIMINAIRE INTERNATIONAL

Demande internationale n° PCT/FR00/00053

| I. Base | du | rapp | rt |
|---------|----|------|----|
|---------|----|------|----|

1. En ce qui concerne les **éléments** de la demande internationale (les feuilles de remplacement qui ont été remises à l'office récepteur en réponse à une invitation faite conformément à l'article 14 sont considérées dans le présent rapport comme "initialement déposées" et ne sont pas jointes en annexe au rapport puisqu'elles ne contiennent pas de modifications (règles 70.16 et 70.17)):

|    | Des   | cription, pages:              |   |                 |                           |                         |
|----|-------|-------------------------------|---|-----------------|---------------------------|-------------------------|
|    | 2,4-  | 25                            | version initiale  |                 |                           |                         |
|    | 1,3   |                               | reçue(s) le   | 05/03/2001      | avec la lettre du         | 26/02/2001              |
|    | Rev   | vendications, N°:             |   |                 |                           |                         |
|    | 13-   | 18                            | version initiale  |                 |                           | -                       |
|    | 1-12  | 2                             | reçue(s) le   | 05/03/2001      | avec la lettre du         | 26/02/2001              |
|    | Des   | sins, feuilles:               |   |                 |                           |                         |
|    | 1/2,  | 2/2                           | version initiale  |                 | ŧ                         |                         |
|    |       |                               |   |                 |                           |                         |
| 2. | lui c |                               | langue, tous les éléments indiqua langue dans laquelle la demai       |                 |                           |                         |
|    | Ces   | éléments étaient à            | à la disposition de l'administratio                                   | n ou lui ont ét | é remis dans la langue    | e suivante: , qui est : |
|    |       | la langue d'une tra           | aduction remise aux fins de la re                                     | cherche inter   | nationale (selon la règ   | le 23.1(b)).            |
|    |       | la langue de public           | cation de la demande internation                                      | nale (selon la  | règle 48.3(b)).           |                         |
|    |       | la langue de la tra<br>55.3). | duction remise aux fins de l'exa                                      | men prélimina   | aire internationale (seld | on la règle 55.2 ou     |
| 3. | inte  | •                             | s séquences de nucléotides ou<br>echéant), l'examen préliminaire i    |                 | _                         |                         |
|    |       | contenu dans la d             | emande internationale, sous for                                       | me écrite.      |                           | ·                       |
|    |       | déposé avec la de             | emande internationale, sous form                                      | ne déchiffrabl  | e par ordinateur.         |                         |
|    |       | remis ultérieureme            | ent à l'administration, sous forme                                    | e écrite.       |                           |                         |
|    |       | remis ultérieureme            | ent à l'administration, sous forme                                    | e déchiffrable  | par ordinateur.           |                         |
|    |       |                               | lon laquelle le listage des séque<br>aite dans la demande telle que d |                 |                           | ent ne va pas au-delà   |

# RAPPORT D'EXAMEN PRÉLIMINAIRE INTERNATIONAL

Demande internationale n° PCT/FR00/00053

à

|    |      | La déclaration, selon<br>celles du listages des            |                   |         |                                  |          |              | ible par ord | dinateur sont | t identiques |
|----|------|--|-------------------|---------|----------------------------------|----------|--------------|--------------|---------------|--------------|
| 4. | Les  | modifications ont enti                                     | aîné l'ann        | ulation | :                                |          |              |              |               |              |
|    |      | de la description,   | pages :           |         |                                  |          |              |              |               |              |
|    |      | des revendications,  | n <sup>os</sup> : |         |                                  |          |              |              |               |              |
|    |      | des dessins,   | feuilles :        |         |                                  |          |              |              |               |              |
| 5. |      | Le présent rapport a<br>comme allant au-dela<br>70.2(c)) : |                   |         |                                  |          |              |              |               |              |
|    |      | (Toute feuille de rem<br>annexée au présent                |                   | t compo | ortant des modific               | ations d | de cette nat | ure doit êti | re indiquée a | au point 1 e |
|    |      | ervations complémen  |                   |         |                                  | eauté, l | 'activité in | ventive et   | la possibili  | té           |
|    |      | plication industrielle                                     |                   |         | •                                |          |              |              | •             |              |
| 1. | Déc  | laration   |                   |         |                                  |          |              |              |               |              |
|    | Nou  | veauté   |                   |         | Revendications<br>Revendications | 1-18     |              |              |               |              |
|    | Acti | vité inventive   |                   |         | Revendications<br>Revendications | 1-18     |              |              |               |              |
|    | Pos  | sibilité d'application in                                  | dustrielle        |         | Revendications<br>Revendications | 1-18     | ·            |              |               |              |
| 2. |      | tions et explications<br>feuille séparée                   |                   |         |                                  |          |              |              |               |              |

#### VII. Irrégularités dans la demande internationale

Les irrégularités suivantes, concernant la forme ou le contenu de la demande internationale, ont été constatées : voir feuille séparée

## RAPPORT D'EXAMEN

#### PRELIMINAIRE INTERNATIONAL - FEUILLE SEPAREE

Il est fait référence aux documents suivants cité dans le rapport de recherche internationale:

D1: Bradshaw, C.G., et al., J. MED. CHEM., vol. 37, (1994), 1991-1995

D2: WO-A-92 13 883

#### V. Nouveauté

Le document D1, qui est considéré comme l'art antérieur le plus proche, a trait à des hepta-pseudopetpides antagonistes du récepteur NK2 de la Tachychinin, comprenant le groupement Ph-CO-Dab(γ-R)-Ala (voir D1: p.1992, Fig. 1, les composés 5, 5A, 5B, 5C). Les peptides selon D1 ont donc un squelette classique de peptide naturel, et possèdent un groupement R comme chaîne latérale.

Le document D2 a trait à des deca-pseudopeptides agonistes de l'hormone LH-RH, qui ne possède pas de motif I ou II selon la Demande (Art. 33(2) PCT).

Par conséquent, l'objet des revendications 1 à 18 est nouveau par rapport à D1 et D2 (Art. 33(2) PCT).

#### Activité Inventive

Comme aucun des documents cités ne suggère de modifier les pseudopeptides décrit pour attendre les pseudopeptides selon la Demande, l'objet des revendications 1 à 18 parait inventif par rapport à D1 et D2 (Art. 33(3) PCT).

VII. La nouvelle revendication 12 n'est pas complète par rapport à la revendication 12 d'origine.

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# PSEUDOPEPTIDE, PROCEDE DE SYNTHESE, REACTIF ET APPLICATIONS

Depuis des années de nombreuses équipes se sont attachées à synthétiser des analogues de peptides ou de activités biologiques protéines qui miment les peptides ou protéines naturels. On peut citer à titre peptidiques obtenus les analogues d'exemple remplacement d'un ou plusieurs acides aminés de la série L par un ou des acides aminés correspondants de la série D, les peptides présentant une modification au niveau d'au liaisons peptidiques, telles une des liaisons rétro, inverso, rétro-inverso, carba et aza.

La liaison carba (CH<sub>2</sub>-CH<sub>2</sub>) a été décrite comme un mime potentiel de la liaison peptidique (Mendre C. et al., European J. Pharmacol., 186, p213-222, 1990; Attwood et al., Bioorg. Med. Chem. Lett., 7, p429-432, 1997). Par ailleurs, le remplacement du carbone α par un atome d'azote partiel ou complet sur un peptide a permis d'obtenir des pseudopeptides intéressants dénommés azapeptides et azatides respectivement (Gante, J., Synthesis, p405-413, 1989; Han H. et Janda K.D., J. Amer. Chem. Soc, 118, p2539-2544, 1996).

D'une manière générale ces analogues peptidiques, dénommés pseudopeptides, présentent comme premier avantage une stabilité métabolique supérieure à celle des peptides ou protéines naturels en raison du fait qu'ils ne sont pas dégradés par les protéases naturelles ou le sont moins vite. Par ailleurs, les changements de conformation induits par ces modifications chimiques peuvent améliorer les propriétés biologiques de ces pseudopeptides, voir par exemple les analogues décapeptidiques antagonistes des hormones hypothalamiques décrits dans WO-A-92/13883.

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utilisés possèdent toujours une taille supérieure d'au moins 12 acides aminés (D. Osmanov, AIDS, 5(1), WHO1-WHO9, 1991). Dans un autre exemple comme le diagnostic de la maladie de Chagas, les peptides utilisés comportent au minimum 12 acides aminés (WO-A-97/18475). Dans (Bradshaw C.G. et col., J. Med. Chem., 37,1991-1995,1994) des sondes fluorescentes analogues de l'antagoniste heptapeptidique de NK2, ont été obtenues par substitution d'un acide aminé et couplage avec un fluorophore.

C'est l'objet de la présente invention que de décrire une nouvelle famille de pseudopeptides comportant motif carbaza modifiant de nouveau significative le squelette peptidique et dont la mise en oeuvre dans le cadre de la synthèse de peptides soit aisée aussi bien en phase solide qu'en phase liquide et ce, même pour des peptides de taille importante et notamment supérieure à 6 acides aminés. Cette nouvelle famille de pseudopeptides est utilisable dans le domaine diagnostique pour fournir des méthodes de diagnostic in vitro de pathologies associées à la présence de protéines endogènes exogènes chez un individu, ou dans le domaine thérapeutique et notamment l'immunothérapie ou vaccination. Ces pseudopeptides ont une taille d'au moins 6 acides aminés comprenant au moins un motif choisi parmi les motifs B de formule générale I et/ou II définies cidessous :

dans lesquelles :

#### REVENDICATIONS

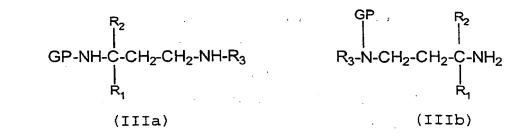
1/ Pseudopeptide d'au moins 6 acides aminés comprenant au moins un motif choisi parmi les motifs de formules générales (I) et/ou (II) :

dans lesquelles :

 $R_1$ ,  $R_2$  et R  $_3$  représentent chacun indépendamment  $R_1$  l'un de l'autre une chaîne latérale d'acides aminés et peuvent être identiques ou différents,

X représente un atome d'oxygène ou de soufre.

- 2/ Pseudopeptide selon la revendication 1 d'une taille d'au moins 9 acides aminés.
- 3/ Pseudopeptide selon la revendication 1 ou 2, caractérisé en ce que X représente un atome d'oxygène.
  - 4/ Pseudopeptide selon l'une quelconque des revendications 1 à 3, caractérisé en ce que  $R_2$  représente un atome d'hydrogène.
- 5/ Procédé de synthèse d'un pseudopeptide selon l'une quelconque des revendications 1 à 4, caractérisé en ce que l'on <u>effectue</u> un couplage d'une diamine monoprotégée de formule générale IIIa ou IIIb



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dans lesquelles,  $R_1$ ,  $R_2$  et  $R_3$  représentent chacun indépendamment l'un de l'autre une chaîne latérale d'acides aminés et peuvent être identiques ou différents, GP représente un groupement protecteur de fonction amine

avec une amine en présence d'un agent de carbonylation.

- 6/ Procédé selon la revendication 5, caractérisé en ce que GP est un groupement Boc, Fmoc, Cbz ou Alloc.
- 7/ Procédé selon la revendication 5 ou 6, caractérisé en ce que l'agent de carbonylation est choisi parmi le N,N'-carbonyldiimidazole et le triphosgène.
- 8/ Réactif pour la détection d'une pathologie associée à la présence de protéines endogènes ou exogènes, caractérisé en ce qu'il comprend à titre de substance réactive au moins un pseudopeptide selon l'une quelconque des revendications 1 à 4.
- 9/ Réactif selon la revendication 8, caractérisé en ce que le pseudopeptide est marqué par un traceur ou la biotine.
- 10/ Réactif selon les revendications 8 et 9, caractérisé en ce que la taille du pseudopeptide est au moins de 12 acides aminés.
- 11/ Kit de détection d'une pathologie associée à 25 la présence de protéines endogènes ou exogènes caractérisé en ce qu'un réactif selon l'une quelconque des revendications 8 à 10, est fixé sur un support solide immunologiquement compatible avec ledit réactif.
- 12/ Procédé de détection et/ou de dosage de 30 molécules biologiques présentes dans un échantillon dans lequel on utilise le réactif selon l'une quelconque des revendications 8 à 10, pour former un complexe immun avec

#### **REQUEST**

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

|   | Applicant's or agent's file reference (if desired) (12 characters maximum) MD/B05B3272 |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|--|
|   |  |  |  |  |  |  |  |  |
| Box No. I TITLE OF INVENTION PSEUDOPEPTIDE, SYNTHESIS METHOD, REAGENT AND APPLICATIONS  |  |  |  |  |  |  |  |  |
| Box No. II APPLICANT  | Box No. II APPLICANT This person is also inventor                                      |  |  |  |  |  |  |  |
| Name and address: (Family name followed by given name; for a legal entity, full official designation.  The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)  BIO MERIEUX  Chemio No.  Facsimile No.  |  |  |  |  |  |  |  |  |
| 69280 MARCY L'ETOILE<br>FRANCE  |  | Teleprinter No.  |  |  |  |  |  |  |
|   |  | Applicant's registration No. with the Office                 |  |  |  |  |  |  |
| State (that is, country) of nationality: FRANCE   | State (that is, country) of  | residence: FRANCE  |  |  |  |  |  |  |
|   |  | e United States the States indicated in the Supplemental Box |  |  |  |  |  |  |
| Box No. III FURTHER APPLICANT(S) AND/OR (FU   | RTHER) INVENTOR(S  | · · · · · · · · · · · · · · · · · · ·                        |  |  |  |  |  |  |
| The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)  BRIAND Jean-Paul 22 rue des Balayeurs 67000 STRASBOURG FRANCE  Applicant and inventor inventor only (If this check-box is marked, do not fill in below.)  Applicant's registration No. with the Office |  |  |  |  |  |  |  |  |
| State (that is, country) of nationality: FRANCE   | State (that is, country) of res  | idence:<br>FRANCE  |  |  |  |  |  |  |
|   | . 17/1   | e United States the States indicated in the Supplemental Box |  |  |  |  |  |  |
| Further applicants and/or (further) inventors are indicated on a conti  | inuation sheet.  |  |  |  |  |  |  |  |
| Box No. IV AGENT OR COMMON REPRESENTATI   | IVE; OR ADDRESS FO   | R CORRESPONDENCE   |  |  |  |  |  |  |
| The person identified below is hereby/has been appointed to act on behalf capplicant(s) before the competent International Authorities as:  | of the agent   | common representative  |  |  |  |  |  |  |
| Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)  Telephone No. 04 72 69 84 30  |  |  |  |  |  |  |  |  |
| CABINET GERMAIN & MAUREAU<br>BP 6153<br>69466 LYON CEDEX 06<br>FRANCE   |  | Facsimile No.<br>04 72 69 84 31                              |  |  |  |  |  |  |
|   |  | Teleprinter No.  |  |  |  |  |  |  |
|   |  | Agent's registration No. with the Office                     |  |  |  |  |  |  |
| Address for correspondence: Mark this check-box where no ag instead to indicate a special address to which correspondence shoul   | ent or common representative is d be sent.   | has been appointed and the space above is used               |  |  |  |  |  |  |

| Continuation of Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER  | Continuation of Box No. III FURTALER APPLICANT(S) AND/OR (FURTHER) L. ENTOR(S)  |  |  |  |  |  |
|---|---|--|--|--|--|--|
| If none of the following sub-boxes is used, this sheet should not be included in the request.   |   |  |  |  |  |  |
| Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)  SEMETEY Vincent 8 rue J.H. Schnitzler 67000 STRASBOURG FRANCE  | This person is:  applicant only  applicant and inventor  inventor only (If this check-box is marked, do not fill in below.)   |  |  |  |  |  |
|   | Applicant's registration No. with the Office  |  |  |  |  |  |
| State (that is, country) of nationality:  FRANCE  State (that is, country) of res   | sidence:<br>FRANCE  |  |  |  |  |  |
|   | Inited States the States indicated in the Supplemental Box  |  |  |  |  |  |
| Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)  LIMAL David 24 rue d'Adelshossen 67300 SCHILTIGHEIM FRANCE   | This person is:  applicant only  applicant and inventor  inventor only (If this check-box is marked, do not fill in below.)  Applicant's registration No. with the Office |  |  |  |  |  |
| State (that is, country) of nationality:  State (that is, country) of res   |   |  |  |  |  |  |
|   | FRANCE Inited States the States indicated in the Supplemental Box   |  |  |  |  |  |
| Name and address: (Family name followed by given name; for a legal entity, full official designation.  The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)  This person is:  applicant only  applicant and inventor  inventor only (If this check-box is marked, do not fill in below.)  Applicant's registration No. with the Office |   |  |  |  |  |  |
| State (that is, country) of nationality: State (that is, country) of res  | lidence:  |  |  |  |  |  |
| the purposes of:  States  the United States of America  of An Name and address: (Family name followed by given name; for a legal entity, full official designation.   | nited States the States indicated in the Supplemental Box  This person is:  |  |  |  |  |  |
| The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)  applicant only  applicant and inventor  inventor only (If this check-box is marked, do not fill in below.)  Applicant's registration No. with the Office   |   |  |  |  |  |  |
| State (that is, country) of nationality:  State (that is, country) of res   | idence:   |  |  |  |  |  |
|   | nited States the States indicated in the Supplemental Box   |  |  |  |  |  |
| Further applicants and/or (further) inventors are indicated on another continuation sheet.  | 11/200  |  |  |  |  |  |



#### Box No. V DESIGNATION OF STATES

Mark the applicable check-boxes below; at least one must be marked.

The following designations are hereby made under Rule 4.9(a): (Double-click here if you want all the boxes below checked.)

#### **Regional Patent**

- AP ARIPO Patent: GH Ghana, GM Gambia, KE Kenya, LS Lesotho, MW Malawi, MZ Mozambique, SD Sudan, SL Sierra Leone, SZ Swaziland, TZ United Republic of Tanzania, UG Uganda, ZW Zimbabwe, and any other State which is a Contracting State of the Harare Protocol and of the PCT
- EA Eurasian Patent: AM Armenia, AZ Azerbaijan, BY Belarus, KG Kyrgyzstan, KZ Kazakhstan, MD Republic of Moldova, RU Russian Federation, TJ Tajikistan, TM Turkmenistan, and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT
- EP European Patent: AT Austria, BE Belgium, CH & LI Switzerland and Liechtenstein, CY Cyprus, DE Germany, DK Denmark, ES Spain, FI Finland, FR France, GB United Kingdom, GR Greece, IE Ireland, IT Italy, LU Luxembourg, MC Monaco, NL Netherlands, PT Portugal, SE Sweden, TR Turkey, and any other State which is a Contracting State of the European Patent Convention and of the PCT
- OA OAPI Patent: BF Burkina Faso, BJ Benin, CF Central African Republic, CG Congo, CI Côte d'Ivoire, CM Cameroon, GA Gabon, GN Guinea, GW Guinea-Bissau, ML Mali, MR Mauritania, NE Niger, SN Senegal, TD Chad, TG Togo, and any other State which is a member State of OAPI and a Contracting State of the PCT (if other kind of protection or treatment desired, specify on dotted line)......

National Patent (if other kind of protection or treatment desired, specify on dotted line):

|   | GH<br>GM<br>HR<br>HU<br>ID<br>IL<br>IN<br>IS | Ghana Gambia Croatia Hungary Indonesia Israel India   |   | MX<br>MZ<br>NO<br>NZ<br>PL<br>PT<br>RO<br>RU                               | Mexico Mozambique Norway New Zealand Poland Portugal Romania Russian Federation   |
|---|--|---|---|--|---|
|   | JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD | Iceland Japan Kenya Kyrgyzstan Democratic People's Republic of Korea Republic of Korea Kazakhstan Saint Lucia Sri Lanka Liberia Lesotho Lithuania Luxembourg Latvia Morocco Republic of Moldova |   | SD<br>SE<br>SG<br>SI<br>SK<br>SL<br>TJ<br>TM<br>TR<br>TT<br>UA<br>UG<br>US | Russian Federation Sudan Sweden Singapore Slovenia Slovakia Sierra Leone Tajikistan Turkmenistan Turkey Trinidad and Tobago United Republic of Tanzania Ukraine Uganda United States of America   |
| ⊠ |  | The former Yugoslav Republic of Macedonia Mongolia to the PCT after issuance of thi   |   |  | Uzbekistan Viet Nam Yugoslavia South Africa Zimbabwe  |
| e |  | HR  | MR Gambia  □ HR Croatia □ HU Hungary □ ID Indonesia □ IL Israel □ IN India □ IS Iceland □ JP Japan □ KE Kenya □ KG Kyrgyzstan □ KP Democratic People's Republic of Korea □ KR Republic of Korea □ KZ Kazakhstan □ LC Saint Lucia □ LK Sri Lanka □ LR Liberia □ LS Lesotho □ LT Lithuania □ LU Luxembourg □ LV Latvia □ MA Morocco □ MD Republic of Moldova □ MG Madagascar □ MK The former Yugoslav Republic of Macedonia □ MN Mongolia | GM Gambia  | MZ  HR Croatia  NO  HU Hungary  NZ  ID Indonesia  PL  IL Israel  IN India  NO  IS Iceland  NO  IS Iceland  NO  KE Kenya  KG Kyrgyzstan  KF Democratic People's  Republic of Korea  KR Republic of Korea  KR Republic of Korea  KZ Kazakhstan  LC Saint Lucia  LK Sri Lanka  TM  LR Liberia  LT Lithuania  LU Luxembourg  LT Lithuania  LU Luxembourg  LT Lithuania  LU Luxembourg  MA Morocco  MA Mor |

Precautionary Designation Statement: In addition to the designations made above, the applicant also makes under Rule 4.9(b) all other designations which would be permitted under the PCT except the designation(s) indicated in the Supplemental Box as being excluded from the scope of this statement. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit. (Confirmation (including fees) must reach the receiving Office within the 15-month time limit.)

| Box No. VI PRIORI  | TY CLAIM  |  |   |   |  |  |  |  |
|--|---|--|---|---|--|--|--|--|
| The priority of the foll   | owing earlier application   | on(s) is hereby claimed:                                       |   |   |  |  |  |  |
| Filing date  | Number Where earlier application is:  |  |   |   |  |  |  |  |
| of earlier application (day/month/year)  | of earlier application  | national application:<br>country                               | regional application:*  | international application: receiving Office             |  |  |  |  |
| item (1)<br>15 January 1999  | 99 00597  | FRANCE   | 105,01111   | 100011 mg Ozman   |  |  |  |  |
| item (2)   |   |  |   |   |  |  |  |  |
| item (3)   |   |  |   | \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \                   |  |  |  |  |
| item (4)   |   |  |   |   |  |  |  |  |
| item (5)   |   |  |   | 19 11   |  |  |  |  |
| Further priority cla   | aims are indicated in the S   | Supplemental Box.  | 1   |   |  |  |  |  |
| The receiving Office is re<br>(only if the earlier applic<br>Office) identified above as                                   | cation was filed with the (   | ransmit to the Internationa Office which for the purpo         | ll Bureau a certified copy of oses of this international ap       | f the earlier application(s) plication is the receiving |  |  |  |  |
| all items ite  | em (1) item (2)   | item (3)   | item (4) item (5  | Supplemental  |  |  |  |  |
| *Where the earlier applicati<br>Industrial Property or one M   | ion is an ARIPO application,<br>Iember of the World Trade (   | indicate at least one country<br>Organization for which that e | party to the Paris Convention<br>arlier application was filed (Ru | Box for the Protection of tle 4.10(b)(ii)): 1           |  |  |  |  |
| Box No. VII INTERNA  | ATIONAL SEARCHING   | AUTHORITY  |   |   |  |  |  |  |
| Choice of International  | Searching Authority (I  | -  | national Searching Authorities                                    | are competent to carry out the                          |  |  |  |  |
| Request to use results of ea Searching Authority):   | rlier search: reference to  | that search (if an earlier sea                                 | irch has been carried out by or                                   | requested from the International                        |  |  |  |  |
| Date (day/month/year)<br>4 October 99  | Number<br>FA 5711   |  | Country (or regional Offic<br>FRANCE                              | ce)   |  |  |  |  |
| Box No. VIII DECLARA   | ATIONS  |  |   |   |  |  |  |  |
| The following declarations at the right column the number of   | re contained in Boxes Nos. V<br>of each type of declaration):   | III (i) to (v) (mark the applicab                              | ole check-boxes below and indic                                   | ate in Number of declarations                           |  |  |  |  |
| Box No. VIII (i)   | Declaration as to the iden  | tify of the inventor   |   | :   |  |  |  |  |
| Box No. VIII (ii)  | Box No. VIII (ii)  Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent:              |  |   |   |  |  |  |  |
| Box No. VIII (iii)   | Box No. VIII (iii)  Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application: |  |   |   |  |  |  |  |
| Box No. VIII (iv)  Declaration of inventorship (only for the purposes of the designation of the United States of America): |   |  |   |   |  |  |  |  |
| Box No. VIII (v)   | Declaration as to non-nre   | indicial disclosures or exception                              | one to look of novelty:   |   |  |  |  |  |

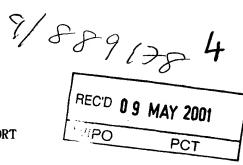
| Box No. IX CHECK LIST; LANGUAGE OF FILING                                     |  |                        |  |  |  |  |  |
|---|--|------------------------|--|--|--|--|--|
| This international application contains:                                      | This international application is <b>accompanied by</b> the following item(s) (mark the applicable check-boxes below and indicate in items |                        |  |  |  |  |  |
| (a) the following number of sheets in paper form:                             | right column the number of each item):   |                        |  |  |  |  |  |
| request (including declaration sheets)  | 1. 🔀 fee calculation sheet   | :                      |  |  |  |  |  |
| description (excluding sequence listing                                       | 2.  original separate power of attorney  | :                      |  |  |  |  |  |
| part) : 25  | 3.  original general power of attorney   | :                      |  |  |  |  |  |
| claims : 4  | 4.  copy of general power of attorney; reference number,   |                        |  |  |  |  |  |
| abstract : 1  | if any:  |                        |  |  |  |  |  |
| drawings : 2  | 5.  statement explaining lack of signature   | :                      |  |  |  |  |  |
| Sub-total number of sheets:   | 6. priority document(s) identified in Box No. VI as  |                        |  |  |  |  |  |
| sequence listing part of description (actual number of                        | item(s):   | :                      |  |  |  |  |  |
| sheets if filed in paper form,<br>whether or not also filed in                | 7.  translation of international application into (language):  | :                      |  |  |  |  |  |
| computer readable form; see   | 8.  separate indications concerning deposited  |                        |  |  |  |  |  |
| (b) below) :  | microorganism or other biological material   | :                      |  |  |  |  |  |
| Total number of sheets : 36 (b) sequence listing part of description filed in | 9.  sequence listing in computer readable form (indicate   |                        |  |  |  |  |  |
| computer readable form  | also type and number of carriers (diskette, CD-ROM, CD-R or other ))   |                        |  |  |  |  |  |
| (i) only (under Section 801(a)(i))  | (i) copy submitted for the purposes of international   |                        |  |  |  |  |  |
| (ii) ☐ in addition to being   | search under Rule 13 <i>ter</i> only (and not as part of the international application)  |                        |  |  |  |  |  |
| filed in paper form   | _  | •                      |  |  |  |  |  |
| (under Section<br>801(a)(ii))   | (ii) (only where check-box (b)(i) or (b)(ii) is marked in left column) additional copies including, where                                  |                        |  |  |  |  |  |
| Type and number of carriers (diskette,  | applicable, the copy for the purposes of   |                        |  |  |  |  |  |
| CD-ROM, CD-R or other) on which the   | international search under Rule 13ter  |                        |  |  |  |  |  |
| sequence listing part is contained (additional copies to be indicated under   | <ul><li>(iii) ☐ together with relevant statement as to the identity<br/>of the copy or copies with the sequence listing</li></ul>          |                        |  |  |  |  |  |
| item 9(ii), in right column):   | part mentioned in left column :  |                        |  |  |  |  |  |
|   | 10.  other (specify)   | :                      |  |  |  |  |  |
| Figure of the drawings which should accompany the abstract:                   | Language of filing of the international application: French  |                        |  |  |  |  |  |
|   | AGENT OR COMMON REPRESENTATIVE   | <del></del>            |  |  |  |  |  |
|   | signing and the capacity in which the person signs (if such capacity is no   | t obvious from reading |  |  |  |  |  |
|   |  |                        |  |  |  |  |  |
| CABINET GERMAIN & MAUREAU   |  |                        |  |  |  |  |  |
| Mireille DIDIER LYON,   | 12 January 2000  |                        |  |  |  |  |  |
| CPI 971202  |  |                        |  |  |  |  |  |
|   | For receiving Office use only  |                        |  |  |  |  |  |
| Date of actual receipt of the purported                                       | 2.   | Drawings:              |  |  |  |  |  |
| international application:  | 2.   | Diamings.              |  |  |  |  |  |
| 3. Corrected date of actual receipt due to later but                          |  | _                      |  |  |  |  |  |
| timely received papers or drawings completing                                 |  | received:              |  |  |  |  |  |
| the purported international application:                                      |  | 0 (1)                  |  |  |  |  |  |
| Date of timely receipt of the required corrections under PCT Article 11(2):   |  | not received:          |  |  |  |  |  |
| 5. International Searching Authority  | Transmittal of course coursed and  |                        |  |  |  |  |  |
| (if two or more are competent): ISA /   | 6. Transmittal of search copy delayed until search fee is paid   |                        |  |  |  |  |  |
|   | For International Bureau use only  |                        |  |  |  |  |  |
| Date of receipt of the record copy by the International Bureau:               |  |                        |  |  |  |  |  |

#### **COPY FOR IB**

#### PATENT COOPERATION TREATY

# **PCT**

5070



#### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Artcle 36 and Rule 70)

|  | •   | •                    |   |                     |
|--|---|----------------------|---|---------------------|
| Applicant's or agent's file reference soobok04                               | FOR FURTHER ACTIO   |                      | onofTransmittalofInternat<br>Report (Form PCT/IPEA/ |                     |
| International application No.  | International filing date(day/                                | month/year)          | Priority date (day/mont                             | h/year)             |
| PCT/KR00/00012   | 11 JANUARY 2000 (11.01.)                                      | 2000)                | 13 JANUARY 1999 (1                                  | 3.01.1999)          |
| International Patent Classification (IPC)                                    | or national classification and                                | IPC                  |   |                     |
| IPC7 H04L 12/58  |   |                      |   |                     |
|  |   |                      |   |                     |
|  |   |                      |   |                     |
| Applicant  |   |                      |   |                     |
| LEE, Soobok  |   |                      |   |                     |
|  |   |                      |   |                     |
|  |   |                      |   |                     |
| This international preliminary examples and is transmitted to the applicant. | amination report has been protected taccording to Article 36. | repared by this Into | ernational Preliminary Ex                           | camining Authority  |
| 2. This REPORT consists of a total   | of 3 sheets, is   | cluding this cover   | sheet.  |                     |
|  | nied by ANNEXES, i.e., shee                                   |                      |   | s which have been   |
| amended and are the basis f  | or this report and/or sheets of Administrative Instructions   | ontaining rectificat | ions made before this A                             | Authority (see Rule |
| These annexes consist of a total of  | of sheets.  |                      |   |                     |
| 3. This report contains indications r  | elating to the following items                                | :                    |   |                     |
| I X Basis of the report  |   |                      |   |                     |
| II Priority  |   |                      |   |                     |
| - <u>-</u> -   | f opinion with regard to novel                                | <del></del>          |   |                     |
|  |   | ty, inventive step a | na maastriai appiicaomi                             | y                   |
| · ·  |   |                      |   |                     |
|  | under Article 35(2) with regardions supporting such statement |                      | ntive step or industrial ap                         | plicability;        |
| VI Certain documents c   |   |                      |   |                     |
|  | e international application                                   |                      |   |                     |
|  |   |                      |   |                     |
| VIII Certain observations  | on the international applicati                                | on                   |   |                     |
| · .  |   |                      |   | İ                   |
|  |   |                      |   |                     |
|  |   |                      |   |                     |
|  |   |                      | · · · · · · · · · · · · · · · · · · ·               |                     |
| Date of submission of the demand   | Dat   | e of completion of t | his report  |                     |
|  |   |                      |   |                     |
| 08 JULY 2000 (08.07.2000)  |   | 25 APRIL 200         | 01 (25.04.2001)                                     |                     |
| 4  |   |                      |   |                     |
| Name and mailing address of the IPEA/k                                       | IR Au   | horized officer      |   | 1/1/2000            |
| Korean Intellectual Property Office  |   |                      |   |                     |
| Government Complex-Taejon, Dunsan-<br>Metropolitan City 302-701, Republic of | long, So-ku, Taejon<br>Korea                                  | JEON, Jong Seon      | ng  |                     |
| Facsimile No. 82-42-472-7140   | enhone No 82-42-  | .481_5948            |   |                     |

| International aplication | on ME |     |   |
|--------------------------|-------|-----|---|
| PCT/KR00/00012           | CEN]  | MAR |   |
|                          |       | L-1 | - |

|   | PCT/KR00/00012  |
|---|---|
| I. Basis of the report  |   |
| 1. With regard to the elements of the international application:*  X the international application as originally filed the description:  pages  | 1600/2900<br>, as originally filed                              |
| pages , filed with the letter o   | , filed with the demand   |
| the claims:   | , as originally filed ether with any statment) under Article 19 |
| pages   | , as originally filed   |
| pages, filed with the letter of   | , filed with the demand   |
| the sequence listing part of the description:   |   |
| pages   | , as originally filed , filed with the demand                   |
| <ul> <li>With regard to the language, all the elements marked above were available or furnished to the international application was filed, unless otherwise indicated under this item.         These elements were available or furnished to this Authority in the following language</li></ul>  | which is er Rule 23.1(b)).                                      |
| 3. With regard to any nucleotide and/or amino acid sequence disclosed in the internat preliminary examination was carried out on the basis of the sequence listing:  contained inthe international application in written form.  filed together with the international application in computer readable form.  furnished subsequently to this Authority in written form.  furnished subsequently to this Authority in computer readable form  The statement that the subsequently furnished written sequence listing does international applicationas as filed has been furnished.  The statement that the information recorded in computer readable form is identified been furnished. | not go beyond the disc losure in the                            |
| The amendments have resulted in the cancellation of:  the description, pages the claims, Nos. the drawings, sheet   |   |
| This opinion has been drawn as if (some of) the amendments had not been made beyond the disclosure as filed, as indicated in the Supplemental Box(Rule 70.2(c))  * Replacement sheets which have been furnished to the receiving Office in response to an int in this opinion as "originally filed." and are not annexed to this report since they do n   | .** vitation under Article 14 are referred to                   |
| ** Any replacement sheet containing such amendments must be referred to under item I and  | annexed to this report.   |

International aplication No.

YES

NO

PCT/KR00/00012

| V. | Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability citations and explanations supporting such statement |        |     |   |     |  |
|----|--|--------|-----|---|-----|--|
| 1. | Statement  |        | ŧ · | ٠ |     |  |
|    | Novelty (N)  | Claims | 1-6 |   | YES |  |
|    |  | Claims |     |   | NO  |  |
|    | Inventive step (IS)  | Claims | 1-6 |   | YES |  |
|    |  | Claims |     | - | NO  |  |

2. Citations and explanations (Rule 70.7)

Industrial applicability (IA)

Craims 1-6 meets the criteria set out in PCT Article 33(2),(3),(4), because the prior art does not teach or fairly suggest the e-mail add-on system comprising;

- a domain suffix e-mail address representation system including systex of a receiver mail address in form of "USERID@DOMAIN.suffix"
- , and a relay-mode add-on service mail server installed to an internet host

Claims 1-6

Claims \_

- , and meta domain name system including a meta domain name database
- , and because this invention has industrial applicability.

# oals and Salation

#### PATENT COOPERATION TREATY

### **PCT**

#### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

ECH CENTER 1

RECEIV

(PCT Article 36 and Rule 70)

| · .  |  | 60  |
|--|--|---|
| Applicant's or agent's file reference MD/B05B3272                            |  | tionofTransmittalofInternational Preliminary<br>n Report (Form PCT/IPEA/HB) |
| International application No.  | International filing date (day/month/year)   | Priority date (day/month/year)  |
| PCT/FR00/00053   | 12 January 2000 (12.01.00)   | 15 January 1999 (15.01.99)  |
| International Patent Classification (IPC) C07K 7/02                          | or national classification and IPC   |   |
| Applicant  | BIO MERIEUX  |   |
| This international preliminary examples and is transmitted to the applicant. | xamination report has been prepared by this Internation according to Article 36.   | national Preliminary Examining Authority                                    |
| 2. This REPORT consists of a tota  | l of 4 sheets, including this cover  | sheet.  |
| amended and are the basi   | panied by ANNEXES, i.e., sheets of the description is for this report and/or sheets containing rectification and the PCT). |   |
| These annexes consist of   | a total of sheets.   |   |
| 3. This report contains indications  | relating to the following items:   |   |
| I Basis of the rep   | ort  |   |

| Date of submission of the demand        | Date of completion of this report |
|---|-----------------------------------|
| 07 August 2000 (07.08.00)               | 09 April 2001 (09.04.2001)        |
| Name and mailing address of the IPEA/EP | Authorized officer                |
|   |                                   |
| Facsimile No.                           | Telephone No.                     |

Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

VIII

Priority

Lack of unity of invention

Certain documents cited

Certain defects in the international application

Certain observations on the international application

International application No.

#### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

#### PCT/FR00/00053

| 1. | I. Basis of the report |                                   |  |   |  |  |
|----|------------------------|-----------------------------------|--|---|--|--|
| 1. | With                   | regard to                         | the elements of the international application:*  |   |  |  |
|    |                        | the inte                          | rnational application as originally filed  |   |  |  |
|    | $\overline{\boxtimes}$ | the desc                          | cription:  |   |  |  |
|    | <b>6</b> 3             | pages                             | 2,4-25   | , as originally filed   |  |  |
|    |                        | pages                             |  | , filed with the demand   |  |  |
|    |                        | pages                             | 1,3 , filed with the letter of   | 05 March 2001 (05.03.2001)  |  |  |
|    | $\square$              | the clai                          | -  |   |  |  |
|    | $\triangle$            |                                   | 13-18  | , as originally filed   |  |  |
|    |                        | pages<br>pages                    | , as amended (togethe  |   |  |  |
|    |                        | pages                             |  | , filed with the demand   |  |  |
|    |                        | pages                             | 1-12 , filed with the letter of  |   |  |  |
|    | Ö                      |                                   |  |   |  |  |
|    |                        | the drav                          | 1/0.2/0  | , as originally filed   |  |  |
|    |                        | pages                             |  | , filed with the demand   |  |  |
|    |                        | pages<br>pages                    | , filed with the letter of   | · · · · · · · · · · · · · · · · · · ·   |  |  |
|    |                        |                                   |  |   |  |  |
|    | t                      | he seque                          | nce listing part of the description:   |   |  |  |
|    |                        | pages                             |  |   |  |  |
|    |                        | pages                             |  |   |  |  |
|    |                        | pages                             | , filed with the letter of   |   |  |  |
| 2. | the in                 | nternation<br>e elemen<br>the lan | o the language, all the elements marked above were available or furnished to the language, all the elements marked above were available or furnished to the language available or furnished to this Authority in the following language guage of a translation furnished for the purposes of international search (under Figuage of publication of the international application (under Rule 48.3(b)). | which is:   |  |  |
|    |                        | the lan<br>or 55.3                | guage of the translation furnished for the purposes of international preliminar).  | y examination (under Rule 55.2 and/   |  |  |
| 3. | With                   | n regard<br>minary e              | to any nucleotide and/or amino acid sequence disclosed in the internation was carried out on the basis of the sequence listing:  | ational application, the international  |  |  |
|    |                        | contair                           | ned in the international application in written form.  |   |  |  |
|    | Ц                      | filed to                          | gether with the international application in computer readable form.   |   |  |  |
|    | Ц                      | furnish                           | ed subsequently to this Authority in written form.   |   |  |  |
|    |                        | furnish                           | ed subsequently to this Authority in computer readable form.   |   |  |  |
|    |                        |                                   | atement that the subsequently furnished written sequence listing does not ational application as filed has been furnished.   | ot go beyond the disclosure in the  |  |  |
|    | Ш                      |                                   | atement that the information recorded in computer readable form is identical urnished.   | al to the written sequence listing has  |  |  |
| 4. |                        | The an                            | nendments have resulted in the cancellation of:  |   |  |  |
|    |                        |                                   | the description, pages   |   |  |  |
|    |                        |                                   | the claims, Nos.   |   |  |  |
|    |                        |                                   | the drawings, sheets/fig   |   |  |  |
| 5. |                        | This rebeyond                     | port has been established as if (some of) the amendments had not been made, the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).**  | since they have been considered to go   |  |  |
| *  | in th                  | acement<br>is repor<br>70.17).    | sheets which have been furnished to the receiving Office in response to an invi-<br>t as "originally filed" and are not annexed to this report since they do t   | tation under Article 14 are referred to<br>not contain amendments (Rule 70.16 |  |  |
| ** |                        | ,                                 | ent sheet containing such amendments must be referred to under item 1 and ann  | nexed to this report.   |  |  |
|    |                        |                                   |  |   |  |  |

International application No. PCT/FR 00/00053

YES

NO

1-18

| Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicabil citations and explanations supporting such statement |                     |  |        |      |       |
|---|---------------------|--|--------|------|-------|
| ta  | Statement           |  |        |      |       |
| 1   | Novelty (N)         |  | Claims | 1-18 | YES   |
|   |                     |  | Claims |      | NO NO |
| ]   | Inventive step (IS) |  | Claims | 1-18 | YES   |
|   |                     |  | Claims |      | NO    |
|   |                     |  | Claims |      |       |

#### 2. Citations and explanations

Industrial applicability (IA)

Reference is made to the following documents, cited in the international search report:

D1: Bradshaw, C.G., et al., J. MED. CHEM., vol. 37,

Claims

Claims

(1994), 1991-1995

D2: WO-A-92 13 883

#### Novelty:

Document D1, which is considered the closest prior art, concerns tachychinin NK2 receptor antagonist heptapseudopeptides, including the grouping Ph-CO-Dab( $\gamma$ -R)-Ala (see D1: page 1992, Figure 1, compounds 5, 5A, 5B, 5C). The peptides according to D1 therefore have a conventional natural peptide backbone, and have a side chain consisting of an R grouping.

Document D2 relates to LH-RH agonist deca-pseudopeptides that do not comprise a motif of formula I or II as per the application (PCT Article 33(2)).

Consequently, the subject matter of Claims 1 to 18 is novel over D1 and D2 (PCT Article 33(2)).

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#### Inventive step

Since none of the cited documents suggests modifying the pseudopeptides described in such a way as to arrive at the pseudopeptides of the present application, the subject matter of Claims 1 to 18 appears to be inventive over D1 and D2 (PCT Article 33(3)).

International application No. PCT/FR 00/00053

| VII. | Certain | defects | in | the | international | application |
|------|---------|---------|----|-----|---------------|-------------|
|------|---------|---------|----|-----|---------------|-------------|

The following defects in the form or contents of the international application have been noted:

The newly filed Claim 12 is not complete in relation to the originally filed Claim 12.

#### RAPPORT DE RECHERCHE INTERNATIONALE

(article 18 et règles 43 et 44 du PCT)

| Référence du dossier du déposant ou du mandataire  | POUR SUITE                                     | voir la notification de transi<br>(formulaire PCT/ISA/220) e  |  |  |
|--|--|---|--|--|
| MD/B05B3272  | A DONNER                                       | <u> </u>  |  | ·  |
| Demande internationale nº  | Date du dépôt inte                             | ernational(jour/mois/année)                                   | (Date de priorité (la<br>(jour/mois/année) | a plus ancienne)   |
| PCT/FR 00/00053  | 12/  | 01/2000   | 15/  | /01/1999   |
| Déposant   |  |   |  |  |
|  |  |   |  |  |
| BIO MERIEUX et al.   |  |   |  |  |
|  |  |   |  |  |
| Le présent rapport de recherche internation<br>déposant conformément à l'article 18. Une | onale, établi par l'ad<br>e copie en est trans | ministration chargée de la re<br>mise au Bureau internationa  | echerche internationa<br>I.                | ale, est transmis au                                     |
| Ce rapport de recherche internationale co  | mprend2  | feuilles.   |  |  |
|  | _  | ue document relatif à l'état d                                | le la technique qui y                      | est cité.  |
|  |  |   |  | ·  |
| Base du rapport     a. En ce qui concerne la langue, la i                                | recherche internatio                           | nala a átá affactuáa sur la h                                 | asa da la damanda i                        | internationale dans la                                   |
| langue dans laquelle elle a été dé   |  |   |  | internationale dans la                                   |
| la recherche internationale  | e a été effectuée su                           | r la base d'une traduction de                                 | e la demande interna                       | ationale remise à l'administration.                      |
| b. En ce qui concerne les séquence<br>la recherche internationale a été e                |  |   |  | le internationale (le cas échéant),                      |
| contenu dans la demande  |  | •   | •  |  |
| déposée avec la demande  | e internationale, sou                          | s forme déchiffrable par ord                                  | inateur.                                   |  |
| remis ultérieurement à l'ac  | dministration, sous t                          | orme écrite.  |  |  |
|  |  | orme déchiffrable par ordina                                  |  |  |
| La déclaration, selon laqu<br>divulgation faite dans la de                               |  |   | et fourni ultérieurem                      | ient ne vas pas au-delà de la                            |
| La déclaration, selon laqu<br>du listage des séquences                                   | elle les informations<br>présenté par écrit, a | enregistrées sous forme dé<br>à été fournie.                  | echiffrable par ordina                     | ateur sont identiques à celles                           |
| 2. Il a été estimé que certal  | ines revendication                             | s ne pouvalent pas faire l'                                   | objet d'une recherd                        | he (voir le cadre I).                                    |
| 3. Il y a absence d'unité de   | l'Invention (voir le                           | cadre II).  |  |  |
| 4. En ce qui concerne le <b>titre.</b>   |  |   |  |  |
| X le texte est approuvé tel q  | u'il a été remis par l                         | e déposant.   |  |  |
| Le texte a été établi par l'a  | administration et a la                         | teneur suivante:  | •  |  |
|  |  |   |  |  |
|  |  |   |  |  |
| <ol><li>En ce qui concerne l'abrégé,</li></ol>   |  |   |  |  |
| le texte est approuvé tel q  | •  | -   |  |  |
|  | s à l'administration                           | li par l'administration confor<br>dans un délai d'un mois à ∝ |  | 8.2b). Le déposant peut<br>expédition du présent rapport |
| 6. La figure des dessins à publier avec  |  | e n°  |  | ·  |
| suggérée par le déposant.  |  |   | X  | Aucune des figures                                       |
| parce que le déposant n'a  | pas suggéré de fig                             | ure.  |  | n'est à publier.   |
| parce que cette figure cara  | actérise mieux l'inve                          | ention.   |  |  |

#### RAPPORT DE RECHERCHE INTERNATIONALE



Demande Internationale No R 00/00053

A. CLASSEMENT DE L'OBJET DE LA DEMANDE CIB 7 CO7K7/02

Selon la classification internationale des brevets (CIB) ou à la fois selon la classification nationale et la CIB

#### B. DOMAINES SUR LESQUELS LA RECHERCHE A PORTE

Documentation minimale consultée (système de classification suivi des symboles de classement) CIB 7 C07K

Documentation consultée autre que la documentation minimale dans la mesure où ces documents relèvent des domaines sur lesquels a porté la recherche

Base de données électronique consultée au cours de la recherche internationale (nom de la base de données, et si réalisable, termes de recherche utilisés)

| Catégorie ° | Identification des documents cités, avec, le cas échéant, l'indication des passages pertinents   | no. des revendications visées |
|-------------|--|-------------------------------|
| T           | LIMAL D ET AL: "Solid-phase Synthesis of N,N'-Unsymmetrically Substituted Ureas: Application to the Synthesis of Carbaza Peptides" TETRAHEDRON LETTERS, vol. 40, no. 14, 2 avril 1999 (1999-04-02), page 2749-2752 XP004159428 ISSN: 0040-4039 le document en entier | 1-18                          |
| X           | C.G. BRADSHAW ET AL.: "Synthesis and characterisation of selective flourescent ligands for neurokinin NK2 receptor"  J. MED. CHEM., vol. 37, 1994, pages 1991-1995, XP002117380 figure 1   | 1,3,4,8,                      |

| χ Voir la suite du cadre C pour la fin de la liste des documents  | X Les documents de familles de brevets sont indiqués en annexe  |
|---|---|
| "A" document définissant l'état général de la technique, non<br>considéré comme particulièrement pertinent  | 'T' document ultérieur publié après la date de dépôt international ou la date de priorité et n'appartenenant pas à l'état de la technique pertinent, mais cité pour comprendre le principe ou la théorie constituant la base de l'invention   |
| <ul> <li>"L" document pouvant jeter un doute sur une revendication de priorité ou cité pour déterminer la date de publication d'une autre citation ou pour une raison spéciale (telle qu'indiquée)</li> <li>"O" document se référant à une divulgation orale, à un usage, à une exposition ou tous autres moyens</li> <li>"P" document publié ayant la date de dépôt international, mais</li> </ul> | <ul> <li>X" document particulièrement pertinent; l'inven tion revendiquée ne peut être considérée comme nouvelle ou comme impliquant une activité inventive par rapport au document considéré isolément</li> <li>Y" document particulièrement pertinent; l'inven tion revendiquée ne peut être considérée comme impliquant une activité inventive lorsque le document est associé à un ou plusieurs autres documents de même nature, cette combinaison étant évidente pour une personne du métier</li> <li>&amp;" document qui fait partie de la même famille de brevets</li> </ul> |
| Date à laquelle la recherche internationale a été effectivement achevée   | Date d'expédition du présent rapport de recherche internationale  |
| 14 avril 2000   | 25/04/2000  |
| Nom et adresse postale de l'administration chargée de la recherche internationale<br>Office Européen des Brevets, P.B. 5818 Patentlaan 2<br>NL – 2280 HV Rijswijk   | Fonctionnaire autorisé  |
| Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,<br>Fax: (+31-70) 340-3016  | Cervigni, S   |

1

#### RAPPORT DE RECHERCHE INTERNATIONALE



P R 00/00053

| C.(suite) | OCUMENTS CONSIDERES COMME PERTINENTS  |           |                               |
|-----------|---|-----------|-------------------------------|
| Catégorle | •   | ertinents | no. des revendications visées |
| X         | WO 92 13883 A (UNIV TULANE)<br>20 août 1992 (1992-08-20)<br>page 10 -page 11; revendications 7,8  |           | 1,3,4                         |
| Α         | DALLAIRE C ET AL: "Synthesis of new building blocks: towards the analogs of peptide nucleic acids (PNAs)<1a" TETRAHEDRON LETTERS, vol. 39, no. 29, 16 juillet 1998 (1998-07-16), page 5129-5132 XP004162398 ISSN: 0040-4039 |           |                               |
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#### **INTERNATIONAL SEARCH REPORT**

Info on patent family members

International Application No

|                  |                                      |        | /                   |          |                            | - K      | 00/00053                 |
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|                  |                                      |        |                     | AT       | 1473                       |          | 15-01-1997               |
|                  |                                      |        |                     | AT<br>AU | 1368<br>90531              |          | 15-05-1996<br>11-06-1992 |
|                  |                                      |        |                     | AU       | 6621                       |          | 24-08-1995               |
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|                  |                                      |        |                     | DE       | 691189                     |          | 23-05-1996               |
|                  |                                      |        |                     | DE       | 691241                     |          | 20-02-1997               |
|                  |                                      |        |                     | DE       | 6912698                    |          | 04-09-1997               |
|                  |                                      |        |                     | DE       | 6912698                    |          | 05-03-1998               |
|                  |                                      |        |                     | DE<br>DE | 6912923<br>6912923         |          | 14-05-1998               |
|                  |                                      |        |                     |          |                            |          | 12-11-1998               |
|                  |                                      |        |                     | DE<br>DE | 6913007<br>6913007         |          | 01-10-1998<br>29-04-1999 |
|                  |                                      |        |                     | DK       | 55440                      |          | 16-02-1998               |
| 1                |                                      |        |                     | DK       | 55863                      |          | 11-05-1998               |
|                  |                                      |        |                     | DK       | 558 <b>6</b> !             |          | 07-07-1997               |
|                  |                                      |        |                     | DK       | 55867                      |          | 29-07-1996               |
| I                |                                      |        |                     | EP       | 055860                     |          | 08-09-1993               |
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| İ                |                                      |        |                     | EP<br>ES | 081386                     |          | 29-12-1997               |
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|                  |                                      |        | • .                 | ES       | 205929                     |          | 16-11-1994               |
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|                  |                                      |        |                     | GR       | 302045                     |          | 31-10-1996               |
|                  | <u> </u>                             |        |                     |          |                            | 1        | 31 10 1330               |
| Form BCT/ISA/D10 | (natent family anney) (Ju            | 140001 |                     |          |                            |          |                          |

#### INTERNATIONAL SEARCH REPORT

ation on patent family members

| International | Application No |  |  |  |  |
|---------------|----------------|--|--|--|--|
| /FR           | 00/00053       |  |  |  |  |

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